



IFW

Docket No. 4564-4001US1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): BLOOM, et al.

Group Art Unit: TBA

Serial No.: 10/583,395 ✓

Examiner: TBA

Filed: June 19, 2006

For: ELECTRONIC BARTERING

**CERTIFICATE OF MAILING (37 C.F.R. §1.8(A))**

Mail Stop  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached:

1. Form PCT/IB/326: Notification Concerning Transmittal Of Copy Of International Preliminary Report on Patentability (Chapter I Of The Patent Cooperation Treaty)
2. Form PCT/IB/373: International Preliminary Report On Patentability
3. Return receipt postcard

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: July 31, 2006

By: \_\_\_\_\_

*Ping Gu*  
Ping Gu  
Registration No. L0040

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Applicant(s): BLOOM, et al..

Group Art Unit: TBA

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**TRANSMITTAL OF INTERNATIONAL PRELIMINARY  
REPORT ON PATENTABILITY**

Mail Stop:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is/are the following items in the above-identified  
application:

- ☒ Form PCT/IB/326: Notification Concerning Transmittal Of Copy Of International Preliminary Report on Patentability (Chapter I Of The Patent Cooperation Treaty)
- ☒ Form PCT/IB/373: International Preliminary Report On Patentability
- ☒ It is believed that no fee is necessary.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 13-4500, Order No. 4564-4001US1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: July 31, 2006

By: Ping Gu

Ping Gu  
Reg. No. L0040

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From the INTERNATIONAL BUREAU

**PCT****NOTIFICATION CONCERNING  
TRANSMITTAL OF COPY OF INTERNATIONAL  
PRELIMINARY REPORT ON PATENTABILITY  
(CHAPTER I OF THE PATENT COOPERATION  
TREATY)**

(PCT Rule 44bis.1(c))

To:

HANCHUK, Walter, G.  
Morgan & Finnegan, LLP  
3 World Financial Center  
New York, NY 10281  
ETATS-UNIS D'AMERIQUE

Date of mailing (*day/month/year*)  
13 July 2006 (13.07.2006)

Applicant's or agent's file reference  
4564-4001PC

**IMPORTANT NOTICE**

International application No.  
PCT/US2004/043966

International filing date (*day/month/year*)  
29 December 2004 (29.12.2004)

Priority date (*day/month/year*)  
29 December 2003 (29.12.2003)

Applicant

ZUNAFISH VENTURES, INC. et al

The International Bureau transmits herewith a copy of the international preliminary report on patentability (Chapter I of the Patent Cooperation Treaty)



The International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland

Authorized officer

Dorothee Mülhausen

Facsimile No. +41 22 338 82 70

e-mail: pt01@wipo.int

# PATENT COOPERATION TREATY

## PCT

### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44bis)

Applicant's or agent's file reference 4564-4001PC	<b>FOR FURTHER ACTION</b>	See item 4 below
International application No. PCT/US2004/043966	International filing date ( <i>day/month/year</i> ) 29 December 2004 (29.12.2004)	Priority date ( <i>day/month/year</i> ) 29 December 2003 (29.12.2003)
International Patent Classification (8th edition unless older edition indicated) See relevant information in Form PCT/ISA/237		
Applicant ZUNAFISH VENTURES, INC.		

1.	This international preliminary report on patentability (Chapter I) is issued by the International Bureau on behalf of the International Searching Authority under Rule 44 bis.1(a).																								
2.	This REPORT consists of a total of 4 sheets, including this cover sheet.  In the attached sheets, any reference to the written opinion of the International Searching Authority should be read as a reference to the international preliminary report on patentability (Chapter I) instead.																								
3.	<p>This report contains indications relating to the following items:</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 10%; text-align: center;"><input checked="" type="checkbox"/></td> <td style="width: 30%;">Box No. I</td> <td style="width: 60%;">Basis of the report</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td>Box No. II</td> <td>Priority</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td>Box No. III</td> <td>Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td>Box No. IV</td> <td>Lack of unity of invention</td> </tr> <tr> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td>Box No. V</td> <td>Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td>Box No. VI</td> <td>Certain documents cited</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td>Box No. VII</td> <td>Certain defects in the international application</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td>Box No. VIII</td> <td>Certain observations on the international application</td> </tr> </table>	<input checked="" type="checkbox"/>	Box No. I	Basis of the report	<input type="checkbox"/>	Box No. II	Priority	<input type="checkbox"/>	Box No. III	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability	<input type="checkbox"/>	Box No. IV	Lack of unity of invention	<input checked="" type="checkbox"/>	Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement	<input type="checkbox"/>	Box No. VI	Certain documents cited	<input type="checkbox"/>	Box No. VII	Certain defects in the international application	<input type="checkbox"/>	Box No. VIII	Certain observations on the international application
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<input type="checkbox"/>	Box No. VIII	Certain observations on the international application																							
4.	The International Bureau will communicate this report to designated Offices in accordance with Rules 44bis.3(c) and 93bis.1 but not, except where the applicant makes an express request under Article 23(2), before the expiration of 30 months from the priority date (Rule 44bis .2).																								

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland  Facsimile No. +41 22 338 82 70	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="padding: 5px;">Date of issuance of this report 03 July 2006 (03.07.2006)</td> </tr> <tr> <td style="padding: 5px;">Authorized officer  Dorothee Mülhausen  e-mail: pt01@wipo.int</td> </tr> </table>	Date of issuance of this report 03 July 2006 (03.07.2006)	Authorized officer  Dorothee Mülhausen  e-mail: pt01@wipo.int
Date of issuance of this report 03 July 2006 (03.07.2006)			
Authorized officer  Dorothee Mülhausen  e-mail: pt01@wipo.int			

# PATENT COOPERATION TREATY

From the  
INTERNATIONAL SEARCHING AUTHORITY

REC'D 21 NOV 2005

**PCT**

WIPO

PCT

To:  
WALTER G. HANCHUK  
MORGAN & FINNEGAN LLP  
3 WORLD FINANCIAL CENTER  
NEW YORK, NY 10281

## WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

(PCT Rule 43bis.1)

Date of mailing  
(day/month/year) **16 NOV 2005**

Applicant's or agent's file reference

4564-4001PC

**FOR FURTHER ACTION**

See paragraph 2 below

International application No.

PCT/US04/43966

International filing date (day/month/year)

29 December 2004 (29.12.2004)

Priority date (day/month/year)

29 December 2003 (29.12.2003)

International Patent Classification (IPC) or both national classification and IPC

IPC(7): G06F 17/60 and US Cl.: 705/35

Applicant

ZUNAFISH VENTURES, INC

1. This opinion contains indications relating to the following items:

- ☒ Box No. I Basis of the opinion
- ☐ Box No. II Priority
- ☐ Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- ☐ Box No. IV Lack of unity of invention
- ☒ Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- ☐ Box No. VI Certain documents cited
- ☐ Box No. VII Certain defects in the international application
- ☐ Box No. VIII Certain observations on the international application

### 2. FURTHER ACTION

If a demand for international preliminary examination is made, this opinion will be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1bis(b) that written opinions of this International Searching Authority will not be so considered.

If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later.

For further options, see Form PCT/ISA/220.

3. For further details, see notes to Form PCT/ISA/220.

Name and mailing address of the ISA/ US

Mail Stop PCT, Attn: ISA/US  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Facsimile No. (571) 273-3201

Date of completion of this  
opinion

19 September 2005 (19.09.2005)

Authorized officer

James P Trammell

Telephone No. (703) 308-1113

**WRITTEN OPINION OF THE  
INTERNATIONAL SEARCHING AUTHORITY**

International application No.

PCT/US04/43966

**Box No. I Basis of this opinion**

1. With regard to the language, this opinion has been established on the basis of:

- ☒ the international application in the language in which it was filed
- ☐ a translation of the international application into \_\_\_\_\_, which is the language of a translation furnished for the purposes of international search (Rules 12.3(a) and 23.1(b)).

2. With regard to any nucleotide and/or amino acid sequence disclosed in the international application and necessary to the claimed invention, this opinion has been established on the basis of:

a. type of material

- ☐ a sequence listing
- ☐ table(s) related to the sequence listing

b. format of material

- ☐ on paper
- ☐ in electronic form

c. time of filing/furnishing

- ☐ contained in the international application as filed.
- ☐ filed together with the international application in electronic form.
- ☐ furnished subsequently to this Authority for the purposes of search.

3. ☐ In addition, in the case that more than one version or copy of a sequence listing and/or table(s) relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.

4. Additional comments:

WRITTEN OPINION OF THE  
INTERNATIONAL SEARCHING AUTHORITY

International application No.  
PCT/US04/43966

Box No. V Reasoned statement under Rule 43 *bis*.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)	Claims <u>1-50</u>	YES
	Claims <u>NONE</u>	NO
Inventive step (IS)	Claims <u>1-50</u>	YES
	Claims <u>NONE</u>	NO
Industrial applicability (IA)	Claims <u>1-50</u>	YES
	Claims <u>NONE</u>	NO

2. Citations and explanations:

Claims 1-50 meet the criteria set out in PCT Article 33(2)-(3), because the prior art does not teach or fairly suggest an electronic bartering system for facilitating a trade of a first item owned by a first user for a second item owned by a second user, when both users are connected to a network.